



Ameriprise Financial Services, LLC 70100 Ameriprise Financial Center Minneapolis, MN 55474

Cost Basis Update



Account Number		

- (i)
- This form can be used to update N/A Non-Covered tax lots (missing cost basis information) for transferred in/received in assets (qualified and non-qualified accounts).
- Non-covered cost basis is not reported to the IRS.
- This form cannot be used to update cost basis information that is already reported via CBRS (Cost Basis Reporting Service) through DTCC.
- Ameriprise Financial will only process information as it is provided on this form or on an attached, client-initialed spreadsheet. Third party statements are not accepted.

Client Information

Client or Trustee First Name	MI Last Name
Client ID	
Additional Client or Trustee First Name	MI Last Name
Client ID 001	
Entity or Trust Name	Trust Date (MMDDYYYY)
Client ID	

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Cost Basis Information



- For date of death step ups, only shares acquired prior to or on the date of death qualify for step up.
- Share amounts provided must balance with current shares held in the account.
- Each tax lot must be listed separately by purchase date (MMDDYYYY).
- Clients may attach additional sheets for the same account if all information does not fit on this form. Client initials with date are required on subsequent forms for the same account. If the request is for a different account, a new form is required.
- · Column definitions:

Select One

- Security Description = Name of security being updated.
- Ticker Symbol/CUSIP

Cost Basis Update

Date of Death (MMDDYYYY)

- Purchase Date/Step Up Date/ Gift Date (per share) = Date shares purchased or date of death that shares should be stepped up to or date shares were gifted.
- Purchase Price/Step Up Price/Gift FMV (per share) = Purchase or step up price or Gift FMV for each individual share purchased.

Step Up Percenatge

100%

() 50%

- Quantity = Quantity of shares to be updated.
- Total Cost Basis = Current cost basis

Openth (Death certificate required)

Gift	☐ Gift						
Security Description	Ticker Symbol/CUSIP	Purchase Date/Step Up Date/Gift Date(per share) (MMDDYYYY)	Purchase Price/Step Up Price/Gift FMV(per share)	Quantity	Total Cost Basis		

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Acknowledgments

By signing this section, you acknowledge that you agree to all the terms and conditions stated herein, and understand that:

- You authorize the cost basis or gain/loss update for tax lots within your account.
- The update will be effective when Ameriprise Financial Services and/or American Enterprise Investment Services, Inc. receive and process the
- Cost basis information provided is current.
- Neither Ameriprise Financial Services, LLC nor your financial advisor provides tax advice. Please consult your tax professional or attorney regarding tax issues specific to your circumstances.
- The change will be reflected on the first account statement delivered after the change has taken place.

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Required Signatures

Client or Trustee Name	
Client or Trustee Signature	Date (MMDDYYYY)
dditional Client or Trustee Name	
Additional Client or Trustee Signature	Date (MMDDYYYY)
Additional Client or Trustee Name	
Additional Client or Trustee Signature	Date (MMDDYYYY)
Additional Client or Trustee Name	
Additional Client or Trustee Signature	Date (MMDDYYYY)
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Additional Client or Trustee Signature	Date (MMDDYYYY)
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Additional Client or Trustee Signature	Date (MMDDYYYY)
X	
Additional Client or Trustee Name	
Additional Olivet or Trustee Circuits	
Additional Client or Trustee Signature	Date (MMDDYYYY)
X	_
Additional Client or Trustee Name	
Additional Client or Trustee Signature	
	Date (MMDDYYYY)
X	
Additional Client or Trustee Name	
Additional Client or Trustee Signature	Doto (MMDDVVVV)
X	Date (MMDDYYYY)
A	
Additional Client or Trustee Name	
Additional Client or Trustee Signature	Date (MMDDYYYY)
X	
	_
Additional Client or Trustee Name	
Additional Client or Trustee Signature	Date (MMDDYYYY)
X	
Additional Client or Trustee Name	
Additional official of Tradice Hame	
Additional Client or Trustee Signature	Date (MMDDYYYY)
X	
For Corporate Use Only	
Name of Registered Associate	
RR#	Date (MMDDYYYY)

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